The Oxidation of Norbornadiene and Some Derivatives using Pseudomonas sp.

Philip J. Geary,^a Robert J. Pryce,^{*}^a Stanley M. Roberts,^b George Ryback,^a and John A. Winders^b

^a Shell Research Ltd., Sittingbourne Research Centre, Sittingbourne, Kent ME9 8AG, U.K.

^b Department of Chemistry, Exeter University, Exeter, Devon EX4 4QD, U.K.

Norbornadiene is oxidized by a *Pseudomonad* to give the diol (2) (35%) while 7-phenylnorbornadiene furnishes 3-norbornadienylcyclohexa-3,5-diene-1,2-diol (10) (41%) on incubation with the same organism; cyclization reactions involving the acetonide (15) have been investigated.

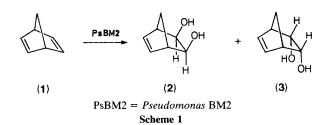
The oxidation of benzene,¹ toluene,² other monocyclic aromatic compounds,³ and bicyclic aromatic substances⁴ using *Pseudomonas* sp. has been the focus of much attention over the past five years.

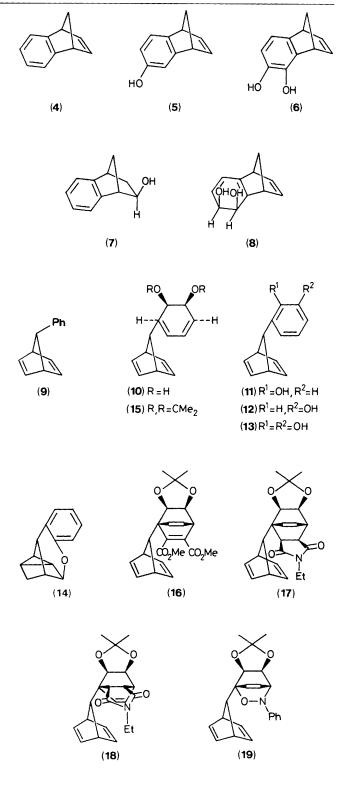
We have found that a *Pseudomonas* sp. (strain BM2) which is capable of oxidizing various derivatives of benzene into cyclohexadienediols also oxidized norbornadiene (1) to give the *cis-exo*-diol (2) (35%) and the *cis-endo*-diol (3) (3%) (Scheme 1). The identity of the two diols was established by comparison of the spectral data with those from authentic samples.⁵ Presumably the oxidation is accomplished by a dioxygenase enzyme within the whole cell, as in the oxidation of indene and homologues by *Pseudomonas* strains.^{6,7}

Pseudomonas BM2 converted benzonorbornadiene (4) into the optically active phenol (5) and the catechol (6) in very low yields (>1%). Small amounts of the alcohol (7) were observed in some runs and from one reaction the material was isolated and identified by NMR spectroscopy.⁸ The formation of the phenol (5) and the catechol (6) is easily explained if the dienediol (8) is an intermediate in the process. The origin of the alcohol (7) is more obscure. Oxidation of benzonorbornadiene with a mutant strain (87E2) of BM2 with much reduced dihydrodiol dehydrogenase activity gave the phenol (5) (4%), the catechol (6) (2%), and the dienediol (8) (1%).

Oxidation of 7-phenylnorbornadiene (9) with *Pseudomonas* BM2 demonstrated that the phenyl ring was, again, the more susceptible moiety. The major products obtained from this biotransformation were 3-(norbornadien-7-yl)cyclohexa-3,5-diene-1,2-diol (10) (41%), the phenols (11) (4%) and (12) (1%), and the catechol (13) (7%). The relatively good yield of the dienediol (10), m.p. 90–93 °C, which could be readily purified by chromatography, allowed further chemical transformations of this chiral compound to be undertaken.

Treatment of the dienediol (10) with Amberlyst 15 resin in diethyl ether gave the phenols (11) and (12) (ratio 10:1, yield 56%) and also the interesting polycyclic compound (14) (42%). The structure of the compound (14) [which was also formed in 49% yield on treatment of the phenol (11) with Amberlyst 15 resin] was established by NMR spectroscopy: $\delta_{\rm H}$ (CDCl₃) 7.14—6.72 (4H, m, aromatic C–H), 4.48 (1H, dd, J 1.6, 1.5 Hz, 2-H), 2.78 (1H, t, J 1.5, 1.5 Hz, 7-H), 2.04 (1H, m, J 1.6, 1.5, 1.5, 1.2, 1.0, 0.8 Hz, 1-H), 1.8—1.7 (2H, m, 6 *exo*-H and 4-H), 1.67 (1H, dt, 6 *endo*-H), 1.4—1.3 (2H, m, 3-H and 5-H); $\delta_{\rm C}$ (CDCl₃) 152.9, 127.9, 127.7, 126.5, 119.7, and 115.4 (aromatic C); 81.0 (2-C), 43.7 (7-C), 31.1 (6-C), 30.6 (1-C), 22.8 (4-C), 14.9 (3-C), 14.1 (5-C).





Formation of the acetonide (15) (65%) followed by reaction of this compound with dimethylacetylene dicarboxylate in water⁹ furnished the polycyclic compound (16) (65%). The Diels-Alder products (17) (42%) and (18) (27%) were isolated following reaction of the acetonide (15) with *N*-ethylmaleimide in water while under similar reaction conditions nitrosobenzene and the acetonide (15) combined to afford the adduct (19) (66%). The structures of compounds (17)—(19) were confirmed by NOE experiments.

Note that the absolute configuration of compounds (5)—(8) and the enantiomeric excesses (e.e.) (if any) are, as yet, unknown. One enantiomer is depicted here for the sake of clarity. From the precedent in the literature¹⁰ it is likely that the diol (10) [CD: (0.24 mM in methanol) $\Delta \varepsilon$ +2.75 (272 nm), -1.14 (237 nm) shoulder, -6.15 (209.5 nm); λ_{max} 271 (ϵ 5420)], and hence the compounds (15)-(19), possess the absolute configurations depicted in the formulae. The optical purities of the diol (10), the acetal (15), and the adduct (17) were judged to be >95% e.e. by a combination of ¹H NMR experiments using tris-[3-(heptafluoropropylhydroxymethylene)-(+)-camphorato]europium(III) as the chiral shift reagent. Significant downfield shifts were observed for some protons [e.g. the NCH₂CH₃ signal in adduct (17)], but no evidence for the formation of diastereoisomeric complexes could be found. The tricyclic compound (14) was not optically active, as expected if the phenol (11) is an intermediate in the cyclization process.

This study shows firstly, that dioxygenase enzymes in some *Pseudomonas* sp. are capable of oxidizing the alkene unit in norbornadiene. Secondly, that in two norbornadiene derivatives which contain an aryl ring, the aromatic unit is attacked preferentially. Thirdly, 7-phenylnorbornadiene is oxidized to the corresponding cyclohexadienediol quite efficiently and the latter compound undergoes a variety of inter- and intra-molecular cyclisation reactions.

We thank International Bio-Synthetics B.V., Rijswijk, The Netherlands, for a post-doctoral Fellowship (to J. A. W.), Mr.

Andrew Schofield and Dr. J. A. Schofield for contributions to the work on norbornadiene oxidations, Mr. Stephen Jones and Mr. Richard Heath for technical assistance, and Dr. V. Sik for interpretation of NMR spectra.

Received, 5th September 1989; Com. 9/03770E

References

- S. V. Ley and F. Sternfeld, *Tetrahedron Lett.*, 1988, **29**, 5305; S. V. Ley, M. Parra, A. J. Redgrave, F. Sternfeld, and A. Vidal, *ibid.*, 1989, **30**, 3557.
- 2 T. Hudlicky, H. Luna, G. Barbieri, and L. D. Kwart, J. Am. Chem. Soc., 1988, 110, 4735.
- 3 I. C. Cotterill, C. A. Pittol, R. J. Pryce, S. M. Roberts, G. Ryback, V. Sik, and J. O. Williams, J. Chem. Soc., Perkin Trans. 1, 1989, 1160; H. G. Davies, R. H. Green, D. R. Kelly, and S. M. Roberts, 'Biotransformations in Preparative Organic Chemistry: the Use of Isolated Enzymes and Whole Cells in Organic Synthesis,' Academic Press, London, 1989, pp. 195-6; T. Hudlicky, H. Luna, J. D. Price, and F. Rulin, Tetrahedron Lett., 1989, 30, 4053.
- 4 D. R. Boyd, R. A. S. McMordie, H. P. Porter, H. Dalton, R. O. Jenkins, and O. W. Howarth, J. Chem. Soc., Chem. Commun., 1987, 1722.
- 5 Y. F. Shealy and J. D. Clayton, J. Am. Chem. Soc., 1969, 91, 3075.
- 6 D. R. Boyd, R. A. S. McMordie, N. D. Sharma, H. Dalton, P. Williams, and R. O. Jenkins, J. Chem. Soc., Chem. Commun., 1989, 339.
- 7 L. P. Wackett, L. D. Kwart, and D. T. Gibson, *Biochemistry*, 1988, 27, 1360.
- 8 D. J. Sandman, K. Mislow, W. P. Giddings, J. Dirlam, and G. C. Hanson, J. Am. Chem. Soc., 1968, 90, 4877.
- 9 P. A. Grieco, K. Yoshida, and P. Garner, J. Org. Chem., 1983, 48, 3137; R. Breslow, U. Maitra, and D. Rideout, *Tetrahedron Lett.*, 1983, 24, 1901.
- 10 H. Ziffer, K. Kabuto, D. T. Gibson, V. M. Kobal, and D. M. Jerina, *Tetrahedron*, 1977, **33**, 2491.